

IDS - 11/16/2001

Docket No. 203198US8CIP/In



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Per-Anders Kristian LOF, et al.

SERIAL NO: 09/881,001

GAU: 2123

FILED: June 15, 2001

EXAMINER:

FOR: SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR ENHANCING COMMERCIAL VALUE OF ELECTRICAL POWER PRODUCED FROM A RENEWABLE ENERGY POWER PRODUCTION FACILITY

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

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Technology Center 2100

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
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CERTIFICATION

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- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Joseph A. Scafetta Jr.

Bradley D. Lytle

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Joseph A. Scafetta, Jr.
Registration No. 26,803



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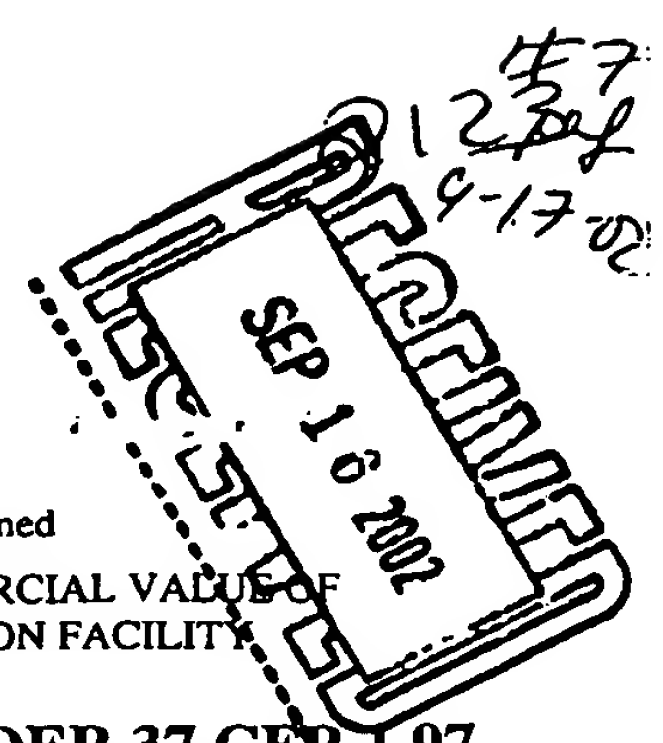
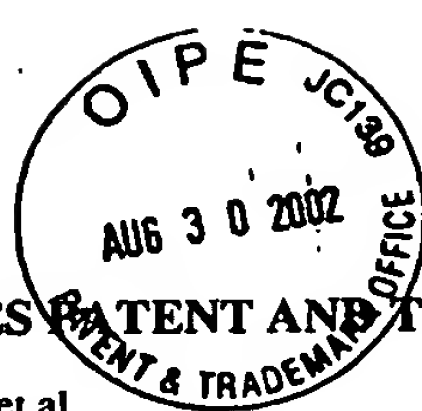


SHEET 1 OF 1

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| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 203198US8CIP | | SERIAL NO. 09/881,001 | |
| LIST OF REFERENCES CITED BY APPLICANT | | | | APPLICANT Per-Anders Kristian LOF, et al. | | | |
| | | | | FILING DATE June 15, 2001 | | GROUP 2123 | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
| DN | AO | WO 01/77525 | 10/18/2001 | WIPO | | | |
| | AP | | | | | | |
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| | AU | | | | | | |
| | AV | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| DN | AW | Nordels Årsmøde 2000 (Nordel Annual Conference 2000), "IKKE-REGULERBAR PRODUKTION I NORDEL-SYSTEMET", May 2000 | | | | | |
| | AX | | | | | | |
| | AY | | | | | | |
| | AZ | | | | | | |
| Examiner /Tan Nguyen/ | | | | | Date Considered 07/17/2006 | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

8/30/02

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Docket No. 200198US-8CIP
IDS-08/30/2002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Per-Anders Kristian LOF et al

SERIAL NO: 09/881,001

GAU: 2123

FILED: June 15, 2001

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INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

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Technology Center 2100

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DEPOSIT ACCOUNT

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Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

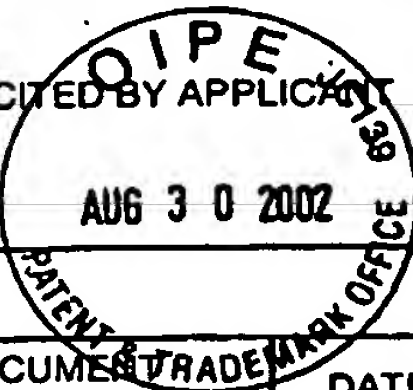
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| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 203198US-8CIP | | SERIAL NO. 09/881,001 | |
|--|----|---|-----------|--|----------------------------|--------------------------|-------------------------------|
| LIST OF REFERENCES CITED BY APPLICANT  | | | | APPLICANT PER-ANDERS KRISTIAN LOF ET AL | | | |
| | | | | FILING DATE JUNE 15, 2001 | | GROUP 2123 | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| DN | AA | 6,333,622 | 12/01 | FOGARTY ET AL | | | |
| | DN | AB | 4,400,659 | 8/83 | BARRON ET AL | | |
| DN | AC | 4,556,801 | 12/85 | GERVASIO ET AL | | | |
| | DN | AD | 6,320,272 | 11/01 | LADING ET AL | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
| DN | AO | WO 99/29008 | 6/99 | WIPO | X | | |
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| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| DN | AW | Powerformer: A Giant Step in Power Plant Engineering, Mats Leijon et al, International Conference IEMD '99, Seattle, Washington, May 9-12, 1999, Pages 830-833 | | | | | |
| DN | AX | A Feasibility Study of Photovoltaic-Fuel Cell Hybrid Energy System, Rahman et al; IEE Transaction on Energy Conversion, March 1998, USA, Vol. 3, No. 1, pp. 50-55 | | | | | |
| DN | AY | IKKE-REGULERBAR PRODKTION I NORDEL-SYSTEMET, Nordels Arsmode 2000, Nordels Netgruppe, Maj 2000 with attached English translation | | | | | |
| | AZ | | | | | | |
| Examiner /Tan Nguyen/ | | | | | Date Considered 07/17/2006 | | |
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IDS - 05/05/2003
Docket No. 203198US8CIP



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SERIAL NO: 09/881,001

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ASSISTANT COMMISSIONER FOR PATENTS
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REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449, which were cited in the attached International Search Report mailed to Applicants along with the Written Opinion (copy attached)
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

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(OSMMN 03/02)

Respectfully submitted,

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MAIER & NEUSTADT, P.C.

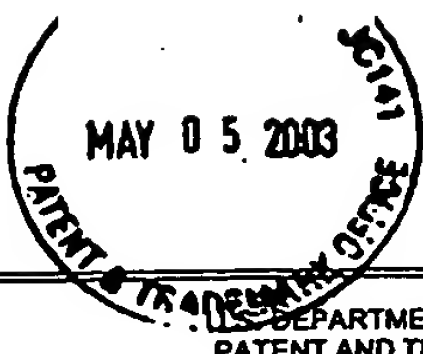
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| | AA | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
| DN | AO | WO 97/45908 | 12-4-1997 | WIPO (with English Abstract Only) | | | X |
| DN | AP | WO 00/74198 | 12-7-2000 | WIPO | X | | |
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| | AY | | | | | | |
| | AZ | | | | | <input type="checkbox"/> Additional References sheet(s) attached | |
| Examiner /Tan Nguyen/ | | | | Date Considered 07/17/2006 | | | |
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Docket No. 203198US8CIP



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Per-Anders K. LOF, et al.

SERIAL NO: 09/881,001

GAU: 2123

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COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

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RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
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Joseph Scafetta, Jr.
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Customer Number

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(OSMMN 05/03)



LIST OF RELATED CASES

| | <u>Docket Number</u> | <u>Serial or Patent Number</u> | <u>Filing or Issue Date</u> | <u>Patent Appl. Publication No.</u> | <u>Inventor/ Applicant</u> |
|----|----------------------|------------------------------------|---------------------------------|---|--------------------------------|
| DN | 203198US8 CIP* | 09/881,001 | 06/15/01 | 2002-0103745 | LOF et al. |
| DN | 263401US8 DIV | 11/045,313 | 01/31/05 | | LOF et al. |

/Tan Nguyen/

07/17/2006

*Present Application; listed for information
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